



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gerald R. Malan, et al.

Serial No.: 09/855,808

Filed: May 15, 2001

For: METHOD AND SYSTEM FOR DETECTING, TRACKING
AND BLOCKING DENIAL OF SERVICE ATTACKS OVER
A COMPUTER NETWORK

Attorney Docket No.: UOM 0234 PUS

Group Art Unit: 2651

Examiner:

CAU 2651
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Group 2100 #2

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A are being submitted herewith for consideration by the Examiner.

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the reference(s) are "material" as defined under 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Commissioner for Patents, United States Patent and Trademark Office, Washington, D.C. 20231 on:

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David R. Syrowik
Name of Person Signing

Signature

A copy of each reference listed on the attached Forms PTO/SB08A are included herewith. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted;

Gerald R. Malan, et al.

By: 
David R. Syrowik
Reg. No. 27,956
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Date: August 6, 2001

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Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/855,808
				Filing Date	May 15, 2001
				First Named Inventor	Gerald R. Malan, et al.
				Group Art Unit	2651
				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	UOM 0234 PUS

U.S. PATENT DOCUMENTS

Examiner Initials ¹	Cite No. ¹	U.S. PATENT DOCUMENT		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		4,817,080		Soha	03/28/1989	
		5,179,549		Joos, et al.	01/12/1993	
		5,231,593		Notess	07/27/1993	
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		5,649,107		Kim, et al.	07/15/1997	
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Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Examiner Initials	Cite No. ¹	U.S. PATENT DOCUMENT		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		5,958,052		Bellovin, et al.	09/28/1999	
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		6,134,662		Levy, et al.	10/17/2000	

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[illegible]

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